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1645
PATENT
Attorney Docket No.: RMES-02

Assistant Commissioner for Patents
Washington, D.C. 20231

DELTA GEN, INC.

By: _____

Deborah A. Mojarro

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CHRISTIAN SIEBEL et al.

Application No.: 09/954,483

Filed: September 17, 2001

For: Methods of Producing Stem Cells
and Animals comprising Targeted Gene
Modifications and Compositions Relating
Thereto

Examiner: Not Assigned

Art Unit: 1645

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached Forms PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

The Commissioner is hereby authorized to charge our Deposit Account No. 50-1271 for any fees required in connection with the filing of this Information Disclosure Statement. **A duplicate copy of this Notice is enclosed for this purpose.**

Respectfully submitted,


Mariette A. Lapiz, Reg. No. 44,202

DELTAGEN, INC.
740 Bay Road
Redwood City, CA 94063
Tel: 650-569-5100
Fax: 650-569-5280

MAL/dam

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number 09/954,483
Filing Date September 17, 2001
First Named Inventor Christian Siebel
Art Unit 1645
Examiner Name Not assigned
Attorney Docket Number RMES-02

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
	A	WO	99/20780	4/29/99	Boehringer Mannheim GMBH	Page 1, line 23; Page 4, line 24, Fig.1	
	B	WO	94/29442	12/22/94	BASF Aktiengesellschaft		
	C	WO	93/16177	8/19/93	Cell Genesys, Inc.	Page 2, line 25; Page 4, line 16; example 1	

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet **C** **2** of **2****Complete if Known**

Application Number	09/904,180
Filing Date	July 11, 2001
First Named Inventor	Keith D. Allen
Art Unit	1636
Examiner Name	Pappu, Sita S.
Attorney Docket Number	R-477

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	D	G. HANNAN et al., "AN ENGINEERED PGK PROMOTER AND LAC OPERATOR-REPRESSOR SYSTEM FOR THE REGULATION OF GENE EXPRESSION IN MAMMALIAN CELLS" Gene, Vol. 130, pp. 233-239 (1993)	
	E	U. MULLER, "TEN YEARS OF GENE TARGETING: TARGETED MOUSE MUTANTS, FROM VECTOR DESIGN TO PHENOTYPE ANALYSIS" Mechanisms of Development, Vol. 82, pp. 3-21 (1999)	

Examiner
SignatureDate
Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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